



THE ASSAM
ROYAL GLOBAL UNIVERSITY
— GUAHATI —

CRITERION 6

GOVERNANCE, LEADERSHIP and MANAGEMENT

METRIC NO.
6.3.1

**Performance Based
Appraisal System**



THE ASSAM

ROYAL GLOBAL UNIVERSITY

APPENDIX – II

Performance Based Appraisal System

COMPENDIUM OF SCORING METHODOLOGY

Performance Based Appraisal System

COMPENDIUM OF SCORING METHODOLOGY

Introduction

- Academic Performance Indicators (API) Scores are developed by UGC for adoption of Performance Based Appraisal System(PBAS) for Career Advancement Scheme(CAS).
- It is mandatory requirement for Universities to select and promote faculty members.
- PBAS is an effective tool for the assessment of Annual Performance and determination of Annual Increment.

Criteria

- The performance is assessed on various factors, broadly classified as:
 1. Category I: Teaching, Learning And Evaluation Related Activities
 2. Category II: Co-Curricular, Extension and Professional Development Related Activities.
 3. Category III: Research Publications & Academic Contribution
 4. Category IV: Behavioral Skills And Values
 5. Category V: Student feedback

Category – I: Teaching, Learning and Evaluation Related Activities

- Maximum API Score Allocated : 125
- Minimum API Score Required : 75

Elements of Category – I

1. Semester wise details of contact hour and Weekly teaching load per semester
2. Teaching load in excess of UGC norm
3. Result/academic performances
4. Reading/Instructional material consulted and additional knowledge resources provided to students
5. Use of innovative teaching-learning approaches

6. Exam duties assigned and performed

CATEGORY – I – Teaching, Learning and Evaluation Related Activities

1.0 : Lectures (L), Seminars (S), Tutorials (T), Practicals (P), Contact Hours (C) *

(Max. Score – 50)

Weekly Teaching Load

- Teaching Assistant / Lecturer : 16
- Assistant Professor : 16
- Associate Professor : 12
- Professor : 8
- HoI / HoD : 2 hours less than the prescribed cadre load

It may please noted that the teaching load may increase in the coming semester, subject to decision of the competent authority.

The calculation of API score for weekly teaching load should be done as per the procedure:

- A) Out of total 50 points for teaching load, score for 25 points, shall be calculated as :
(average teaching hours per week per semester/ prescribed load as per UGC norms) x 25 =
- B) For the remaining 25 points, the score shall be based on percentage of assigned classes conducted as per table given below:-

% of assigned Classes taken	API Score
100	25
90-99	20
80-89	15
Less than 80	0
Max. Score: A+B : 50	

Note:

- a. Lectures, Seminars, Tutorials, Practical, Contact Hours should be based on verifiable records.
- b. No score should be assigned if a teacher has taken less than 80% of the assigned classes. (Universities may give allowance for periods of leave where alternative teaching arrangements have been made).
- c. Maximum score of 50 if the teacher has taken 100% of the assigned classes as well as the teaching load prescribed by the statutory bodies.

2.0 : Teaching Load in excess of UGC norms

(Max. Score – 10)

S.No.	Number of extra teaching hours	API Score
1.	1 extra hour	2
2.	2 extra hours	4
3.	3 extra hours	6
4.	4 extra hours	8
5.	More than 4 extra hours	10

Note:

- If a teacher has taken classes exceeding UGC norms, then two points to be assigned for each extra hour of classes/credit.
- Maximum Score allotted for excess teaching load is 10

3.0 : Result/Academic Performance

(Max. Score – 10)

Regular	API Score	API Score
100%	10	5
90-99%	8	4
80-89%	6	3
70-79%	4	2
60-69%	2	1
<60%	0	0
Maximum Score: 10		

Note: Fail and debarred shall be equally considered.

4.1 : Reading/Instructional material consulted and additional knowledge resources provided to students

(Max. Score – 5)

Degree of Compliance	API Score
100%	5
90-99%	4
80-89%	3

70-79%	2
60-69%	1
<60%	0
Maximum Score: 5	

5.0 : Use of Participatory and innovative Teaching-Learning Methodologies, Updating of subject content, Course Improvement etc. (Max. Score – 20)

S.No.	Approaches	S.No.	Innovative Teaching Learning methodologies used
1.	Lectures	1.	Blended Learning
2.	Tutorials	2.	Case-Based Learning
3.	Practicals	3.	Cooperative Learning
		4.	Field Based Learning
		5.	Inquiry Based Learning
		6.	Lab Based Learning
		7.	Problem Based Learning
		8.	Community Service Learning
		9.	Just-in-time teaching
		10.	Role Plays
		11.	ICT based teaching/ Web-based Learning
		12.	Popularization programmes
		13.	Conduction of Workshop/ Seminars
		14.	Developing and imparting Remedial/Bridge Courses and Counseling modules
		15.	Developing and imparting soft skills/communication skills/personality development courses / module
		16.	Developing and imparting specialized teaching-learning programmes in physical education, library; innovative compositions and creations in music, performing and visual arts and other traditional areas
		17.	Any other, specify

Calculation of API Score

	API Score
Lecture-Tutorial-Practical (LTP)	10
LTP + any one method	13

LTP + any two methods	16
LTP + any three or more methods	20
Maximum Score: 20	

6.0 : Examination Duties Assigned and Performed

(Max. Score – 25)

Criteria for allocation of API Score for Examination Duties Assigned and Performed				
S.No.	Duty	S.No.	Criteria	API Score
1.	Paper Setting	a.	Question Paper up to 2 courses	1
		b.	Question Paper more than 2 courses	2
2.	Paper Moderation	a.	Question Paper up to 2 courses	1
		b.	Question Paper more than 2 courses	2
3.	Evaluation of Answer Books	a.	Up to 300 copies	2
		b.	300-400 copies	3
		c.	(>) 400 copies	4
4.	Viva Voce of students for NTCC	a.	Up to 10 students	2
		b.	11-20 students	3
		c.	(>) 20 students	4
5.	Internal examiner for practicals	a.	Up to 2 shift	2
		b.	2-4 shift	3
		c.	(>) 4 shift	4
6.	Invigilator	a.	Up to 5 duties	1
		b.	6-10 duties	2
		c.	11-15 duties	3
		d.	(>) 15 duties	4
6.1	Centre Superintendent	a.	Up to 1000 students	5
		b.	1001- 2500 students	7
		c.	2501-4000 students	9
		d.	(>) 4000	10
6.2	Asst. Superintendent	a.	Up to 1000 students	3
		b.	1001- 2500 students	4
		c.	2501-4000 students	5
		d.	(>) 4000	6
6.3	Flying Squad	a.	1-2 duties	1
		b.	3-5 duties	2
		c.	6-8 duties	3

		d.	(>) 8 duties	4
6.4	Faculty Coordinator (exams)	a.	Up to 1000 students	1
		b.	1001- 2500 students	2
		c.	2501-4000 students	3
		d.	(>) 4000	4

CATEGORY – II – Co-Curricular, Extension & Professional Development

1.0 : Extension, Co-curricular & Field based Activities

(Max. Score – 20)

1.1 Institutional Co-Curricular activities for students (Max. Score: 10)					
S.No.	Name of Activity	Role	Unit (students/ companies/ places)	Max. API Score	Short Description/ Evidence
1.1.1	Industry Visit		1 score per company	5	Company name
1.1.2	Industry internship guidance		1 score per student	5	No. of students
1.1.3	Field Studies/ Educational Tours		1 score per tour	5	Company name
1.1.4	Placement related activities:				
	• Brochure designing & student profile verification		0.5 score upto 30 profile verification	5	No. of students
	• Company database development/ verification/ update		0.5 score upto 30 companies	5	No. of companies
	• Helping students in resume writing/ GD/ PI		0.5 score upto 30 students	5	No. of students
	• Number of companies confirmed for placement		0.5 score upto 2 companies	5	Name of companies
	• Coordinating with placement cell/ RCCR etc. as faculty host		1 score upto 5 companies	5	No. of companies
	• Number of students placed		0.5 score upto 5 students	5	Name and programme of students
1.2 Positions held/ Leadership role played linked with extension work (Max. Score: 10)					
	Name of Activities	Role	Unit (meetings/ activities/ visits)	Max. API Score	Short Description/ Evidence
1.2.1	Mentoring		1.25 score per meeting	5	Date and no. of meetings
1.2.2	Club activities/ Committee activities (eg. Dance, Music, Photography, Dramatic Clubs or Cultural committee, Sports committee, Legal Aid Clinic,		1 score per activity	5	No. of activities

	Environment committee, Research committee etc.)				
1.3	Students and staff related activities (Max. Score: 5 + 5)				
1.3.1	Socio Cultural & Sports activities organized or prepared team	Role	Unit (events)	Max. API Score	Short Description/ Evidence
	• Inter Royal Schools		1 score per event	5	Name and date of event, school
	• Inter college/university		2.5 score per event	5	Name and date of the event and name of the Universities
	• Corporate competitions		2.5 score per event	5	Name and date of the event and name of the Companies
1.3.2	Campus Publications	Role	Unit (publications)	Max. API Score	Short Description/ Evidence
	• Institutional Journal		2.5 score each	5	Name, frequency and date of publication
	• Institutional Newsletter		1.25 score each	5	Name, frequency and date of publication
	• University Newsletter		1.25 score each	5	Name, frequency and date of publication
	• Institutional Annual Report		2.5 score each	2.5	Date of publication
	• University Annual Report		2.5 score each	2.5	Date of publication
1.4	Community Work (Max. Score: 10)				
	Community Work	Role	Unit (activity)	Max. API Score	Short Description/ Evidence
I.4.1	Values of National Integration		2.5 score per activity	5	Name of activity
I.4.2	Environmental Education/ Awareness Program		2.5 score per activity	5	Name of program
I.4.3	Blood Donation Camps		2.5 score per activity	5	Date of the camp
I.4.4	Human Rights (eg. International Women's Day)		2.5 score per activity	5	Name of activity & date
I.4.5	Scientific Popularisation among students/ community		2.5 score per activity	5	Name of activity & date
I.4.6	Flood or Draught relief		2.5 score per activity	5	Name of activity & date
I.4.7	Any other, please specify		2.5 score per activity	5	Name of activity & date
Maximum Aggregate Limit:				20	

2.0 : Contribution to Corporate Life and Management of the Institution

(Max. Score – 15)

2.1	Contribution to Corporate Life (Max. Score: 10)				
	Contribution to Corporate Life	Role	Unit (Committee/ Lecture/ Workshop)	Max. API Score	Short Description/ Evidence
2.1.1	Members of corporate/ academic committee outside RGU		2.5 score per committee	5	Name of committee and membership period
2.1.2	Members of professional body outside RGU		1 score per committee	5	Name of organization and membership period
2.1.3	Organizing Guest Lectures		1 score per lecture	5	Name of organization
2.1.4	Subject related events/ workshops organized		1 score per workshop	5	Name, date and place of the event
2.2	Governance responsibilities (Max. Score: 10)				
2.2.1	Dean/ Director/ HoI/ HoD/Departmental or School Coordinator			5	
2.2.2	Member of Academic Council/ Executive Council			5	
2.2.3	Member of BOM			5	
2.2.4	Member of Anti-Ragging Monitoring Cell			5	
2.2.5	Member of Examination Committee/ Examination Disciplinary Committee			5	
2.2.6	Dean/ Dy. Dean/ Asstt. Dean of Student Welfare Committee			5	
2.2.7	Proctor/ Dy/ Proctor/ Asstt. Proctor			5	
2.2.8	Program Leader/ Program Coordinator/ Course Coordinator/ Lab Incharge/ Studio Incharge/ Library Coordinator			5	
2.2.9	Warden/ Asstt. Warden			3	
2.3	Participation in University/ Institutional committees (Max Score: 10)				

	Participation in University/ Institutional committees	Role	Unit (activity)	Max. API Score	Short Description/ Evidence
2.3.1	Member of Accreditation committee/ Ranking/IQAC		0.5 score per committee	5	Name of Committee and Membership Period
2.3.2	Admission Board		2 score per Board	5	Name of Board and Membership Period
2.3.3	Anti-Ragging Cell		1.5 score per committee	5	Name of Committee and Membership Period
2.3.4	Any other please specify		1.5 score per committee	5	Name of Committee and Membership Period
2.4	Responsibility or participation in activities (Max. Score: 10)				
	Responsibility or participation in activities	Role	Unit (activity)	Max. API Score	Short Description/ Evidence
2.4.1	Institutional Student welfare activities		2 score per activity	5	Details of activities
2.4.2	Institutional Counseling activities		2 score per activity	5	Details of activities
2.4.3	Institutional Discipline related activities		2 score per activity	5	Details of activities
2.5	Organization of Conference/ Seminar/ Workshop (Max score: 10)				
	Organization of Conference/ Seminar/ Workshop	Role	Unit (Conference/ Seminar/ Workshop)	Max. API Score	Short Description/ Evidence
2.5.1	Institutional (< 10 participants from outside)		2 score per conference/ Seminar/ workshop	5	Title & date
2.5.2	Regional (> 50 Participants from outside)	Chairperson	3 score per conference/ Seminar/ workshop	5	Name of conference, Date and Number of Participants
		Member Secretary/ Treasurer	2 score per conference/ Seminar/ workshop	5	
		Member	1 score per conference/ Seminar/ workshop	5	
2.5.3	National (> 100 participants from across the country)	Chairperson	5 score per conference/ Seminar/ workshop	5	Name of conference, Date and Number of Participants
		Member Secretary/ Treasurer	4 score per conference/ Seminar/ workshop	5	
		Member	3 score per conference/ Seminar/ workshop	5	
2.5.4	International (> 25 International participants)	Chairperson	10 score per conference/ Seminar/ workshop	10	Name of conference, Date and Number of Participants

		Member Secretary/ Treasurer	8 score per conference/ Seminar/ workshop	10	
		Member	6 score per conference/ Seminar/ workshop	10	
Max. Aggregate API Score Limit					15

3.0 : Professional Development Activities

(Max. Score – 15)

3.1 Membership in Profession related activities/organization committee of Seminar/ conferences/ Student Chapters (Max. Score: 10)						
	Name of activity/ Seminars/ Conferences	Level	Role	Unit (Activities)	Max. API Score	Short Description/ Evidence
3.1.1		International level	Chairman	5 score per Activity	5	Name and date of activity
			Member Secretary	4 score per Activity	5	Name and date of activity
			Member of committee	3 score per Activity	5	Name and date of activity
3.1.2		National level	Chairman	4 score per Activity	5	Name and date of activity
			Member Secretary	3 score per Activity	5	Name and date of activity
			Member of committee	2 score per Activity	5	Name and date of activity
3.1.3		State Level	Chairman	3 score per Activity	5	Name and date of activity
			Member Secretary	2 score per Activity	5	Name and date of activity
			Member of committee	1 score per Activity	5	Name and date of activity
3.1.4		Student Chapters	Chairman	4 score per Activity	5	Type of Faculty
			Member Secretary	4 score per Activity	5	
			Member of committee	3 score per Activity	5	

3.2 Participation in Subject association/ Conferences/ Seminars without paper presentation outside RGU (Max Score: 10)					
	Type of Activity	Role	Unit (Participation in conference/ seminar)	Max. API Score	Short Description/ Evidence
3.2.1	Subject association	Chairman	3 score per participation	5	Name and date of participation
		Member/ Subject Expert	2 score per participation	5	
3.2.2	Conferences/ Seminars	Chairman	3 score per conference/ seminar	5	Name and date of conference/ seminar

	without paper presentation				
		Attended	2 score per conference/ seminar	5	

3.3	Participation in short term training courses (Max Score: 10)				
	Type of Activity	Role	Unit (Activity)	Max. API Score	Short Description/ Evidence
3.3.1	Refresher Courses		3 score each	5	Title & duration of the course and organizer
3.3.2	Faculty development programmes		3 score each	5	Title & date of FDP and organizer
3.3.3	Pedagogical workshops		3 score each	5	Title & date of workshop organizer
3.3.4	Corporate Training Programme		3 score each	5	Title & date of Programme and organizer

3.4	Membership/participation outside RGU (Max Score: 10)				
	Type of Committee	Role	Unit (No. of Membership)	Max. API Score	Short Description/ Evidence
3.4.1	State/ Central bodies/ Committees on education	Chairman	5 score each	5	Organisation name, Committee name & Duration
3.4.2	State/Central bodies/ Committees on Research and National Development	Member Secretary/ Treasurer	3 score each	5	Organisation name, Committee name & Duration
3.4.3	Court/ Executive council/ Senate/ Academic council/ Board/ of Studies/ URC/ Examination Committee, Selection Board etc.	Member	2 score each	5	Organisation name, Committee Name & Duration
3.4.4	Editorial Committees of Journals/ Proceedings etc	Chief Editor	5 score each	5	Name of journal/ proceedings & Duration
		Dy. Editor/ sub editor	3 score each	5	
		Member	2 score each	5	

3.5	Publication (not covered in category III) (Max Score: 10)			
	Type of Publications	Unit (No. of Membership)	Max. API Score	Short Description/ Evidence
3.5.1	Articles in newspapers	1 score each	5	Name & Date of publication
3.5.2	Magazines or other publications	1 score each		Name & Date of publication
3.5.3	Speaker/ subject expert in Television programmes	1 score each		Name of program & Date of telecast
3.5.4	Radio talks	1 score each		Name & Date of talk
3.5.5	Any other, please specify	1 score each		Name & Date
Max. Aggregate API Score Limit			15	

CATEGORY – III – RESEARCH, PUBLICATIONS & ACADEMIC CONTRIBUTIONS

1.0 : Research Publications

1.1 : Research Papers published in Journals

Basic Calculation of API Score:

S.No.	Type of Journal	Author Role	Basic Score
1.1.1	Refereed Journal with ISBN/ ISSN no. and indexed by reputed indexing agencies like Scopus, Thomson Reuters etc.	Sole author (100%)	20 score per publication
		First author/ Principal author/ Corresponding author (60%)	
		No. of Co- authors (n) (40%/ n)	
1.1.2	<ul style="list-style-type: none"> Refereed Journal with ISBN/ ISSN numbers but not indexed Non-refereed but recognized and reputable journals and periodicals, having ISBN/ ISSN numbers 	Sole author	10 score per publication
		First author/ Principal author/ Corresponding author	
		No. of Co- authors (n)	
1.1.3	Paid, non-indexed and non-refereed journals with or without ISBN / ISSN numbers		0

Final Score (including Impact Factor)

Type of Journal	Impact Factor	Final Score (Engineering)	Final Score (Faculties of Languages/ Arts / Humanities/ Social Sciences/ Library/ Physical Education/ Management)
Refereed and Indexed Journal with ISBN/ ISSN no.	Below 1	Basic Score + 5	Basic Score + 10
	1-2	Basic Score + 10	Basic Score + 15
	2-5	Basic Score + 15	Basic Score + 25
	More than 5	Basic Score + 25	Basic Score + 30

- If only 3 authors

$$\text{API Score per author} = \frac{\text{Final Score}}{3}$$

(This is done to avoid giving more weightage to co-author in the scenario of 3 authors, wherein, one author is the First/ Principal Author, second author is the Corresponding Author and third author is the Co-author)

- If more than 3 authors

1. API Score of First author/ Principal author/ Corresponding author = 60% of the Final Score
2. API Score of Co-authors = $\frac{40\% \text{ of Final Score}}{N}$, where N is the number of co-authors

1.2 : Full papers in Conference Proceedings

(Division of API score for individual authors for publications should be after augmenting the basic score of the publication with impact factor)

1. API Score:

- a. Sole author = 10 per publication

- b. If only 3 authors, then API score per author = $\frac{\text{Total Score}}{3}$

(This is done to avoid giving more weightage to co-author in the scenario of 3 authors, wherein, one author is the First/ Principal Author, second author is the Corresponding Author and third author is the Co-author)

- c. If more than 3 authors

- First author/ Principal author/ Corresponding author = 60% of total score per publication
- Co-authors = $\frac{40\% \text{ of total score per publication}}{N}$, where N is the number of co-authors

1.3 : Articles/ Chapters published in Books

(Max. Score : 50)

S.No.	Title with page no.	Name of publisher	ISSN/ ISBN No.	No. of Coauthors	Whether you are main author	API Score
1.3.1	Text or Reference Books published by International Publishers with an established peer review system				If yes	10 per chapter
					If no	5 per chapter
1.3.2	Course books by National level publishers/ State and Central Govt. Publications with ISBN/ ISSN numbers.				If yes	5 per chapter
					If no	3 per chapter
1.3.3	Course Books by Other local publishers with ISBN/ ISSN numbers				If yes	3 per chapter
					If no	2 per chapter

1.3.4	Chapters contributed to edited knowledge based volumes published by International Publishers.				If yes	10 per chapter
					If no	5 per chapter
1.3.5	Chapters in knowledge based volumes by Indian/ National level publishers with ISBN/ ISSN no. and with no. of national and International directories				If yes	5 per chapter
					If no	3 per chapter

1.4 : Books published as Author or as Editor/Translator

S.No.	Title of book	Type of Book & Authorship	Publisher & ISSN/ISBN no	Whether peer reviewed	API Score	
					Sole Author	Sole Editor/ Translator
1.4.1	Text or Reference Books published by International Publishers with an established peer review system				50 score per book	25 score per Editor/ Translator
1.4.2	Course books by National level publishers/ State and Central Govt. Publications with ISBN/ ISSN numbers.				25 score per book	12.5 score per Editor/ Translator
1.4.3	Revision of Books				10 score per book	5 score per book
1.4.4	Course Books by other local publishers with ISBN/ ISSN numbers				15 score per book	7.5 score per Editor/ Translator
1.4.5	Course Books by other local publishers without ISBN/ ISSN numbers				10 score per book	5 score per Editor/ Translator
1.4.6	Review of Book proposed to be published by reputed publishers				5 score book reviewed	--

NOTE : If there are more than one author/ Editor/ Translator/ Reviewer, points will be divided equally

1.5 : Chief Editor/Guest Editor/Editor/Associate Editor; Member, Consultant Member of Editorial/Advisory Board of Journal and Reviewer/Referee of a Journal

S.No.	Title of Journal	Whether Peer Reviewed. Impact Factor, if any	API Score	
			Chief Editor/ Guest Editor/ Associate Editor	Member, Consultant Member of Editorial/ Advisory board of journal and Reviewer/ Refree (Max. points not to exceed 30)

1.5.1	Refereed Indexed Journals by reputed indexing agencies with ISBN/ ISSN no.		15 score per journal during the year	10 score per Journal during the year
1.5.2	Refereed Journal with ISBN/ ISSN no. but not indexed by reputed indexing agencies		10 score per Journal during the year	5 score per Journal during the year
1.5.3	Referred Journal without ISBN / ISSN no.		5 score per Journal during the year	5 score per Journal during the year
1.5.4	Non-refereed but recognized and reputable journals and periodicals		5 score per Journal during the year	3 score per Journal during the year
1.5.5	Paid, non-indexed and non-refereed journals with or without ISBN / ISSN no		0	0

2.0 : Research Projects, Consultancies and Assignments

2.1, 2.2, 2.3 : Sponsored Projects (Completed/Ongoing), Consultancy Projects (Carried Out/Ongoing/Completed), Filing & Award of Patents/Policy Document/Technology Transfer Process

S.No.	Project	Domain	Grant/ Amount Mobilized	API Score
2.1.1	Sponsored Projects completed/ ongoing	Engineering/ Applied Sciences/ Life Sciences	50,000 - 5 lakhs	10 score per Project
			5 lakhs-30 lakhs	15 score per Project
			Above 30 lakhs	20 score per Project
		Languages /Arts/Humanities /Social Sciences / Physical Education/ Management/ Law	25,000 - 3 lakhs	10 score per Project
			3 lakhs-5 lakhs	15 score per Project
			Above 5 lakhs	20 score per Project
2.2.1	Consultancy Projects completed /ongoing	Engineering/ Applied Sciences/ Life Sciences	Minimum 5 lakhs	5 score for each 5 lakhs
		Languages /Arts/Humanities /Social Sciences / Physical Education/ Management/ Law	Minimum 1 lakhs	5 score for each 1 lakh
2.2.2	Completed projects : Quality Evaluation		Acceptance from funding agency	20 score per Major project 10 score per Minor project
2.3.1	Patent/ Technology transfer/ process/ Policy document	Engineering/ Applied Sciences/ Life Sciences	Patent/ Technology transfer/ process	Filed : 20 score per patent
				Granted: 30 score per patent at national level & 50 score per patent at international level
				Technology transfer

				process: 50 score per patent
		Languages /Arts/Humanities /Social Sciences / Physical Education/ Management/ Law	Major Policy document of Govt. Bodies at Central and State level	30 score per document
2.3.2	Overseas Assignment funded by a public agency/ International Agency		Overseas Assignment	25 score per assignment (more than 1 year)
				20 score per assignment (3- 12 months)
				15 score per assignment (Less than 3 months)
Note: The score for patent/ consultancy/ projects shall be distributed in the same manner as for Research papers published in Journals				

3.0 : Research Guidance

S.No.	Degree	No. of scholars enrolled	Status	API Score
3.1	UG Major project/ Dissertation and M.Sc. Project		Dissertation completed	0.5 score per scholar
3.2	M.Phil/M.E/M.Tech/ LL.M or Equivalent		Dissertation completed	3 score per scholar
3.3	Ph.D. or Equivalent		Degree Awarded	10 score per scholar
3.4	Ph.D. or Equivalent		Thesis submitted	7 score per scholar
3.5	Ph.D. / M.Phil Thesis evaluation			5 / 3 score per thesis

4.0 : Training Courses, Teaching-Learning-Evaluation Technology Programmes, Faculty Development Programmes

(not less than one week duration)

(Max: 50 points)

S.No.	Programme	Title of the training programme	Role (President/Chairman/ Convener/Coordinator/ Director/Secretary)	Duration	API Score
4.0.1	Organiser of Faculty Development Programme(s)			Less than one week duration	10 score each

4.0.2	Organiser of Corporate Training Programme(s)			One week duration	20 score each
4.0.3	Organiser of seminar				
4.0.4	Organiser of Refresher course(s)			More than one week duration	20 score each
4.0.5	Organiser of Pedagogical each workshop(s)				
Note: If there are more than one organizer, score will be shared equally by all					

4.1 : Papers presented in Conferences, Seminars, Workshops, Symposia/Session Chaired, etc. (excluding papers published in form of proceedings as it is already covered in 1.2)

S.No.	Name of the Organizer	No. of Co-Authors	Whether you presented the paper	Level	API Score
4.1.1				International level	10 score each
4.1.2				National level	7.5 score each
4.1.3				Regional/ State level	5 score each
4.1.4				University/ Institute level	3 score each
Note: 100% score for sole presenter If more than one participants, 60% for the presenter and 40% will be divided equally among co-authors					

4.2 : Invited Lectures (including Extension) and Chairmanships at National or international Conference/ Seminars; Office Bearer/Advisor of Registered Professional Bodies

S.No.	Title of Lecture/ Academic Session	Title of Conference / Seminar etc.	Organized by	Role (Session chair/ Resource person/ invited speakers/ Advisor of registered professional bodies)	Level	API Score
4.2.1					International level	10 score each
4.2.2					National level	5 score each

4.3 : Experience as Post-Doctoral Fellow/ Research Associate/ Visiting Professor

(Max. Score: 10)

S.No.	Experience as:	Institution	Duration	Major achievements	API Score
4.3.1	Research Associate				5 score each year
4.3.2	Post-Doctoral Fellow				5 score each year

4.3.3	Visiting Professor				10 score each year
-------	--------------------	--	--	--	--------------------

The API score claim of each of the sub-categories in the Category-III (Research and Publications and Academic Contributions) will have the following cap to calculate the total API score claim, as per UGC Notification No. F.1-2/ 2009 (EC/PS) V (i) Vol.-II dated 13.06.2013:-

Sub-Category	Cap as % of API cumulative score in application
III (1.1-1.2: Research Papers (Journals etc.)	30%
III (1.3-1.5) Research Publications (Books etc.)	25%
III (2.0-2.5) Research Projects / Patents	20%
III (3.0) Research Guidance	10%
III (4.1-4.4) Training Courses and Conference / Seminars, etc.	15%

CATEGORY-III: RESEARCH, PUBLICATIONS & ACADEMIC CONTRIBUTIONS

(please give separate year-wise details from the Academic Year you have joined RGU. For scoring method, kindly refer to the attached Compendium)

1.0 Research Publications

1.1 Research Papers published in Journals:

S.No.	Title with page number	Journal	ISSN/ ISBN No.	Whether peer reviewed/ UGC listed / others (pls mention) with Impact Factor	No. of co-authors	Whether you are the main author	Date and Year of Publication	API Score
Total API Score								

Note: (a) Please refer the PBAS compendium for clarification and score calculation {(i.e. Cat-III, Serial No. 1.1 page-14)}

(b) Supporting document for research paper to be enclosed as Annexure-Cat III (1.1)

1.2 Full papers in Conference Proceedings

S.No.	Title with page number	Details of conference publications	Whether peer reviewed/ UGC listed / others (pls mention) with Impact Factor and ISSN/ ISBN No.	No. of co-authors	Whether you are the main author	Date and Year of Publication	API Score
Total API Score							

Note: (a) Please refer the PBAS compendium for clarification and score calculation {(i.e. Cat-III, Serial No. 1.2 page-15)}

(b) Supporting document for research paper to be enclosed as Annexure-Cat III (1.2)

1.3 Articles/ Chapters published in Books

S.No.	Title with page	Book Title,	ISSN/	Whether peer	No. of	Whether you	Date and	API
-------	-----------------	-------------	-------	--------------	--------	-------------	----------	-----

	number	Editor and Publisher	ISBN No.	reviewed.	co-authors	are the main author	Year of Publication	Score
Total API Score								

Note: (a) Please refer the PBAS compendium for clarification and score calculation {(i.e. Cat-III, Serial No. 1.3 page-15)}

1.4 Books published as Author or as Editor/Translator

S.No.	Title with page number	Type of Book and Authorship	Publisher & ISSN/ ISBN No.	Whether peer reviewed.	No. of co-authors	Whether you are the main author	Date and Year of Publication	API Score
Total API Score								

Note: (a) Please refer the PBAS compendium for clarification and score calculation {(i.e. Cat-III, Serial No. 1.4 page-16)}

1.5 Chief Editor/Guest Editor/Editor/Associate Editor; Member, Consultant Member of Editorial/Advisory Board of Journal and Reviewer/Referee of a Journal

S.No.	Referred Journal with/without ISSN/ISBN No.	Status	API Score
Total API Score			

Note: (a) Please refer the PBAS compendium for clarification and score calculation {(i.e. Cat-III, Serial No. 1.5 page-16)}

2.0 Research Projects, Consultancies and Assignments

2.1 Sponsored Projects (Completed/Ongoing)

S.No.	Title	Agency	Period (from-to)	Grant/Amount Mobilized (Rs Lakhs)	API Score

	Total API Score	
--	------------------------	--

Note: (a) Please refer the PBAS compendium for clarification and score calculation {(i.e. Cat-III, Serial No. 2.1.1 page-17)}

2.2 Consultancy Projects (Carried Out/Ongoing/Completed)

S.No.	Title	Agency	Period (from-to)	Grant/Amount Mobilized (Rs Lakhs)	Whether Policy Documents/Patent as outcome	API Score
	Total API Score					

Note: (a) Please refer the PBAS compendium for clarification and score calculation {(i.e. Cat-III, Serial No. 2.2.1 to 2.2.2 page-17)}

2.3 Filing & Award of Patents/Policy Document/Technology Transfer Process

S.No.	Type of Patent	Filed		Awarded		API Score
		Date	File No.	Date	Patent No.	
	Total API Score					

Note: (a) Please refer the PBAS compendium for clarification and score calculation {(i.e. Cat-III, Serial No. 2.3.1 to 2.3.2 page-17 to 18)}

3.0 Research Guidance

S.No.	Degree	No. of Scholars enrolled	Status	Degree Awarded	API Score
	Total API Score				

Note: (a) Please refer the PBAS compendium for clarification and score calculation {(i.e. Cat-III, Serial No. 3.0, page-18)}

4.0 Training Courses, Teaching-Learning-Evaluation Technology, Faculty Development Programmes

S.No.	Programme	Duration (from – to)	Organized by	API Score

Total API Score				

Note: (a) Please refer the PBAS compendium for clarification and score calculation {(i.e. Cat-III, Serial No. 4.0 page-18)}

4.1 Papers presented in Conferences, Seminars, Workshops, Symposia/Session Chaired, etc.

S.No.	Title of the paper presented	Title of conference/ seminar etc.	Date(s) of the event	Organized by	No. of co-authors	Whether you presented the paper	Whether International/ National/ State/ Regional/ University or College level	API Score
Total API Score								

Note: (a) If a paper presented in Conference/Seminar is published in the form of Proceedings (Full Paper), the points would accrue for the publication as Category III, (1.2) and not under this heading.

(b) Please refer the PBAS compendium for clarification and score calculation {(i.e. Cat-III, Serial No. 4.1 page-19)}

4.2 Invited Lectures (including Extension) and Chairmanships at National or international Conference/ Seminars; Office Bearer/Advisor of Registered Professional Bodies

S.No.	Title of Lecture/ Academic Session	Title of Conference/ Seminar etc.	Date(s) of the event	Organized by	Whether International/ National/ State	API Score
API Score						

(a) Please refer the PBAS compendium for clarification and score calculation {(i.e. Cat-III, Serial No. 4.2, page-19)}

4.3 Experience as Post-Doctoral Fellow / Research Associate/Visiting Professor

S.No.	Research Associate/ Post-Doctoral Fellow	Institution	Duration (from – to)	Major Achievements	API Score

	API Score				

a) *Please refer the PBAS compendium for clarification and score calculation {(i.e. Cat-III, Serial No. 4.3, page-19)}*

Total Score Earned for Category III	
--	--

*** Attach scanned copies of supporting documents of the above.**